



REQUEST FOR QUALIFICATIONS
ANNUAL FINANCIAL AUDIT
RFQ # 15-18

Bid Tab

PROFESSIONAL QUALIFICATIONS

The evaluation of the professional qualifications of the proposers will be based on the following two criteria sections:

I. Mandatory Criteria

Proposals will not be considered for the second criteria section unless they met all four of the following:

- A. Must be an independent auditor properly licensed for public practice.
- B. Must meet the independence standards of Government Auditing Standards, 1999 Revision, United States General Accounting office (GAO).
- C. Must not have a record of substandard work.
- D. Must submit a proposal meeting all of the requirements of the request for qualifications.

Mandatory Criteria Met?

	<u>Weaver LLP</u>	<u>Pattillo, Brown & Hill</u>	<u>Whitley Penn</u>	<u>Hereford Lynch</u>
	Yes	Yes	Yes	Yes
	Yes	Yes	Yes	Yes
	Yes	Yes	Yes	Yes
	Yes	Yes	Yes	Yes
	Yes/No	Yes/No	Yes/No	Yes/No

Item Weighting

II. Technical Criteria

A. Technical experience of the firm:

- 1. Audit experience of Texas districts of same or larger student population

Scoring Information (scale of 0 to 10)

- 10 pts. = 3 or more districts of 20k enrollment.
- 7 pts. = 1 or more districts of 20k enrollment.
- 5 pts. = 3 or more districts of various enrollment.
- 3 pts. = 1 or more districts of various enrollment.

- 2. Audit experience in government entities

Scoring Information (scale of 0 to 10)

- 10 pts. = 15 or more entities
- 7 pts. = 10 or more entities
- 5 pts. = 5 or more entities
- 3 pts. = 1 or more entities

	<u>Weaver LLP</u>	<u>Pattillo, Brown & Hill</u>	<u>Whitley Penn</u>	<u>Hereford Lynch</u>
25.0%	7.50	5.25	7.50	7.50
15.0%	4.50	4.50	4.50	4.50

		<u>Weaver LLP</u>	<u>Pattillo, Brown & Hill</u>	<u>Whitley Penn</u>	<u>Hereford Lynch</u>
B. Staff characteristics including consultants to be assigned:					
1. Size and structure of the firm, including audit staff	15%	4.50	3.15	4.50	1.35
<u>Scoring Information (scale of 0 to 10)</u>					
10 pts. = 50 or more staff auditors					
7 pts. = 25 or more staff auditors					
5 pts. = 10 or more staff auditors					
3 pts. = 5 or more staff auditors					
2. Qualifications of supervisory personnel, consultants, & field audit team:					
a. Education, including continuing education courses in the past two years	15%	2.25	1.35	1.35	1.35
<u>Scoring Information (scale of 0 to 10)</u>					
10 pts. = Senior Auditor & Audit Manager have CPA designation & combined average of 160 CPE hours per year.					
7 pts. = Senior Auditor & Audit Manager have CPA designation & combined average of 120 CPE hours per year.					
5 pts. = Senior Auditor & Audit Manager have CPA designation & combined average of 80 CPE hours per year.					
3 pts. = Senior Auditor <i>or</i> Audit Manager have a CPA designation & average 40 CPE hours per year.					
b. Years and types of experience	10%	1.50	2.10	3.00	3.00
<u>Scoring Information (scale of 0 to 10)</u>					
10 pts. = Average experience of Audit Partner, Audit Manager & Audit Senior 15 years or more.					
7 pts. = Average experience of Audit Partner, Audit Manager & Audit Senior 12 years or more.					
5 pts. = Average experience of Audit Partner, Audit Manager & Audit Senior 9 years or more.					
3 pts. = Average experience of Audit Partner, Audit Manager & Audit Senior 6 years or more.					
C. Clear understanding of the work to be performed:					
1. Comprehensiveness of the audit work plan	10%	3.00	2.10	2.20	2.20
<u>Scoring Information (scale of 0 to 10)</u>					
10 pts. = very detailed					
7 pts. = Adequate detail					
5 pts. = Minimal detail					
2. Realistic time estimates of the work plan and estimated hours	5%	1.50	0.95	0.95	1.35
<u>Scoring Information (scale of 0 to 10)</u>					
10 pts. = very detailed					
7 pts. = Adequate detail					
5 pts. = Minimal detail					
3. Cooperation with respect to scheduling field work and timelines	5%	1.50	0.95	1.20	1.35
<u>Scoring Information (scale of 0 to 10)</u>					
10 pts. = very detailed					
7 pts. = Adequate detail					
5 pts. = Minimal detail					
Total Score:	100%	26.25	20.35	25.20	22.60